



*JPW*

PATENT

Attorney Docket No. 04173.0446-00

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Kazutaka AKIYAMA

Application No.: 10/807,274

Filed: March 24, 2004

For: SEMICONDUCTOR DEVICE AND  
SEMICONDUCTOR DEVICE  
MANUFACTURING METHOD

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)  
) Group Art Unit: 2826

)  
) Examiner: Leonardo ANDUJAR

)  
)  
) Confirmation No.: 3986

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicant brings to the attention of the Examiner the documents on the attached listing. This Information Disclosure Statement is being filed after the events recited in Section 1.97(b) but, to the undersigned's knowledge, before the mailing date of either a Final action, Quayle action, or a Notice of Allowance. Under the provisions of 37 C.F.R. § 1.97(c), this Information Disclosure Statement includes a certification as specified by Section 1.97(e).

Each document listed in this Information Disclosure Statement was first cited in a communication from the Japanese Patent Office in a counterpart foreign application, and this Information Disclosure Statement is being filed within three months of the mailing date of that communication.

Copies of the listed foreign and non-patent-literature documents are attached. Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

The following is a concise statement of relevance of the non-English-language documents.

JP 2001-196415 A, JP 5-152433 A, and JP 10-189733 A are cited in an Office Action from the Japanese Patent Office mailed October 24, 2006, in a counterpart foreign application ("Japanese Office Action"). An English-language summary translation of the Japanese Office Action (under heading "Summary of rejection") together with the sections of the Japanese patent documents cited in the Japanese Office Action (under heading "Summary of well-known arts cited") is also enclosed. The relevance of JP 2001-196415 A, JP 5-152433 A, and JP 10-189733 A is discussed in the Japanese Office Action. English-language Abstracts of the Japanese patent documents are also enclosed.

Applicant does not necessarily endorse the conclusions set forth in the Japanese Office Action.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicant determines that the cited document does not constitute "prior art" under United States law, applicant

reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

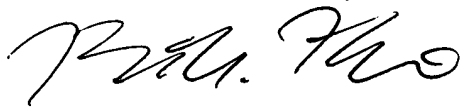
Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: December 21, 2006

By:   
Reece W. Nienstadt  
Reg. No. 52,072

## Complete if Known

INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 1

Application Number	10/807,274
Filing Date	March 24, 2004
First Named Inventor	Kazutaka AKIYAMA
Art Unit	2826
Examiner Name	Leonardo ANDUJAR
Attorney Docket Number	04173.0446-00

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-			
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Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2001-196415 A	07-19-2001	SHARP CORP.		Abstract
		JP 5-152433 A	06-18-1993	MITSUBISHI ELECTRIC CORP.		Abstract
		JP 10-189733 A	07-21-1998	TEXAS INSTRUMENTS INC.		Abstract

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Office Action from the Japanese Patent Office, mailed October 24, 2006.	Yes

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.